

Fees Schedule (Updated on 11 May 2026)	
A. Trade Related Services	
Brokerage Fee	Online Trading Commission - 0.25% of Transaction Amount (Min. HKD98) Phone Trading Commission - 0.25% of Transaction Amount (Min. HKD100)
Brokerage Fee (IPO Grey Market)	Phone Trading Commission - 0.25% of Transaction Amount (Min. HKD150)
Stamp Duty	0.10% of transaction amount (rounded up to the nearest dollar)
Trading Fee (By HKEX)	0.00565% of transaction amount
Transaction Levy (By SFC)	0.0027% of transaction amount
CCASS Fee	0.01% of transaction amount (Minimum charge: HKD5; Maximum charge HKD300)
FRC Transaction levy	0.00015% of transaction amount
Stock Custody Fee	Free
B. Scrip Handling & Settlement Related Services	
Physical Stock Withdrawal	Scrip Fee HKD3.5 per board lot / Handling Fee HKD100 per stock. Round up to the nearest board lot
Physical Stock Deposit	HKD5 per transfer deed / no Handling Fee
Settlement Instruction Received	Free
Settlement Instruction Delivery	0.05% of market value per stock (as per closing price of last trading day) minimum HK50 / maximum HKD300
ISI Received	Free
ISI Delivery	Free
C. Nominees Services & Corporate Actions	
Cash Dividend / Stock dividend Collection	HKD50 per stock
Nominees Services & Corporate Action	HKD100 per stock/per action
New Issues - EIPO	HKD88 per stock
D. Account Maintenance	
Stock Balance or Account Confirmation Fee	HKD100 per A/C
Monthly Securities Statement Duplication	HKD300 per Calendar Year / HKD30 per month
Copy of Contract Note	Daily Statement: HKD30 per trade day within 1 year, HKD50 per trade day over 1 year Monthly Statement: HKD30 per month within 1 year, HKD50 per month over 1 year
Trade Record Enquiry	HKD20 per trade record
Handling Charge for Bought & Sold Note	HKD200 each time
Penalty Charge for Late Payment of Cash Client	HKD300.00, together with overdue interest, will be deducted from the securities account
Internal Stock Transfer to Another Account Holder (Account holders must provide the signed and	HKD50 per stock / per transaction (Maximum : HKD500)
Charges of Time Deposit	0.0007% of Total Amount (minimum HKD300, maximum HKD500)
Remittance Charge	HKD300 each time, plus Bank Administration Fee (0.125% of remitted amount, minimum HKD 70, maximum HKD1,000), and client shall bear all bank correspondence charges.
Borrowing Interest	Margin Account - P + 1.25% (Bank of Communications' Prime Rate) Cash Account - P + 3% (Bank of Communications' Prime Rate)
Saving Interest	Margin Account - 0% Cash Account - 0%

E. U.S. Stock Trading Service (T+1 Settlement)	
Commission	General Trading
New York Stock Exchange (NYSE)	(Phone Desk)
NASDAQ	0.25% (per trade)
Overseas Trading	Minimum commission per trade: USD 20 No platform fee for unsuccessful or canceled orders
F. Other Fees (Miscellaneous)	
U.S. SEC Fee	0.00206% (minimum USD 0.01). Charged only on sell orders Fee Subject to adjustment according to SEC announcements
Settlement Fee (U.S. Depository Trust & Clearing Corporation)	USD 0.003 per trade (maximum USD 0.50)
Trading Activity Fee (U.S. Financial Industry Regulatory Authority)	0.000166 per share. Charged only on sell orders Subject to adjustment according to FINRA announcements
Non-U.S. DTC Settlement Fee (Overseas Exchanges)	Varies by stock; up to USD 75.00 per trade Clearing fees may be passed on to the client
Custody Fee	Free
G. Bond Trading Fees*	
Commission	0.250%
Custody Fee	0.2% of the bond's face value per year (billed monthly, maximum HKD 500) *Bond ISIN code-based calculation; individual bond prices are not included.
Coupon Collection & Redemption	Free
H. Mutual Fund Trading Fees*	
Commission	1.3% (0% for subscription/redemption)
Annual Management Fee	0.5%–1%

*This fee schedule does not apply to inflation-linked bonds (iBond), green bonds, and silver bonds.

The above fees are for reference only and are subject to change without notice.